



APMI India <apmi@apmiindia.org>

Dec 4, 2024, 4:08 PM

To: The Compliance Officers & Principal Officers of APMI Members.

Greetings from APMI!

We would like to express our heartfelt gratitude for your support and involvement in our Compliance Sutra initiative, which has been successfully running for over 15 months. To ensure we keep providing value, we are pleased to inform you that our next two sessions to be held in December 2024 will be on the topic 'Cyber Security'.

The various aspects that will be covered in the presentation are as follows:

1. SEBI's criteria for Self Certified REs.
2. Relevance and importance of Cyber Security in case of small players having limited technical infrastructure.
3. Simplifying Cyber Security requirements of SEBI in terms of Assessment, Policies, Implementation and Reporting.
4. Certain quick fixes suggested for self-certified regulated PMS.
5. Third party engagement requirements.
6. Measures that need to be in place for an organisation without a server and with one.
7. Key functions and relevance of SOC and Market SOC.
8. How can the third party Consultant help you become compliant?
9. Cyber Security and CSCRF Training for employees for self certified REs .

Please find the **Compliance Sutra Call schedule for December 2024 as below:**

1st Compliance Sutra Call:

Day and Date: Monday, 9th December 2024

Time: 3:00 – 4:00pm

Video call link: <https://meet.google.com/fkp-wuqo-mvx>

2nd Compliance Sutra Call:

Day and Date: Thursday, 19th December 2024

Time: 3:00 – 4:00pm

Video call link: <https://meet.google.com/qzw-pfts-rrt>

Please be aware that the Compliance Sutra presentations from earlier sessions are accessible through your member desk login. We would greatly appreciate your feedback on these sessions; please share your thoughts with us at feedback@apmiindia.org.



Looking forward to your participation and hope you will find these sessions engaging and valuable.

Warm Regards

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