



To: Compliance Officers and Principal of all APMI Members

Subject: APMI Compliance Sutra Calendar for January'24

Date: 1st January 2024

Greetings from APMI & Wishing you all a blissful year ahead!

APMI's initiative of **Compliance Sutra** was launched on 1st September 2023 and we take this opportunity to thank you for your active participation in our earlier sessions.

For making this initiative more useful and interactive, we would request for your feedback on our earlier sessions at feedback@apmiindia.org

Since the launch of this initiative, we have been conducting **2 online sessions each month for our members** with an external advisor/s.

- These sessions are aimed towards addressing the queries raised by our members on the APMI query management portal plus we endeavour to cover the latest SEBI Circular/Order or any implementation which has come in from the regulator and help our members in executing the same.

- The sessions conducted for the period September'23 till Dec'23 are as follows:

- **Compliance Sutra Calendar for Sept'23:** <https://www.apmiindia.org/storagebox/images/Important/Compliance%20Sutra%20-%20Calendar%20for%20Sept'23.pdf>

- **Compliance Sutra Calendar for Oct'23:** <https://www.apmiindia.org/storagebox/images>



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- **Compliance Sutra Calendar for Nov'23:** <https://www.apmiindia.org/storagebox/images/Important/Compliance%20Sutra%20-%20Calendar%20for%20Nov'23.pdf>
- **Compliance Sutra Calendar for Dec'23:** <https://www.apmiindia.org/storagebox/images/Important/Compliance%20Sutra%20-%20Calendar%20for%20Dec'23.pdf>

Compliance Sutra Calendar for January 2024

As we move into the fifth month of this initiative, we are extending the coverage of Compliance Sutra and starting to address various Operational issues which PM's have faced/face while executing and implementing regular activities.

The use of excel, its core understanding, the advanced usage and other related challenges and issues, got highlighted and were shared with APMI while we were in process for data submission related to the offsite inspection data.

- Taking the above feedback into account, we are conducting an **online workshop with interactive demos** for APMI Members titled- **Data Processing Using Excel**.
 - Excel provides a wide selection of tools and features to analyse and comprehend the data which professionals and operations teams of Portfolio Managers use for effective & efficient working.



1. **First call for January 2024 has been scheduled on Thursday 4th January 2024 from 4.00 pm to 5.00 pm.**

- This session will be conducted by the team of Professionals.

- Registration

Link: <https://meeting.zoho.in/meeting/register?sessionId=1369877955>

- You are requested to complete the registration for attending this session.
- A presentation carrying the content of this session will be shared with the registered participants closer to the date of the session.

2. **Second call for January 2024 is scheduled on Friday 19th January 2024 from 4.00 pm to 5.00 pm.**

- This call will be covering a few additional modules of excel.

We look forward to your presence in these sessions and are hopeful that you will find them useful.

Thank You & look forward to your continuous support.

Warm Regards

Association of Portfolio Managers in India

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Contact us: <https://apmiindia.org/apmi/contactus.htm>

Web: <https://apmiindia.org/apmi/welcome.htm>

APMI

ASSOCIATION OF
PORTFOLIOMANAGERS
IN INDIA

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