



**To:** Compliance Officers and Principal of all APMI Members

**Subject:** APMI Compliance Sutra Calendar for December'23

**Date:** 1<sup>st</sup> December 2023

Greetings from APMI!

This is in continuation of APMI's initiative of Compliance Sutra which was formally launched on 1st September 2023 and thank you so much for your active participation in our earlier calls.

**A: Update on Compliance Sutra:**

Since the launch of this initiative, we have been conducting **2 online sessions each month** for our members with an external advisor/s.

- These sessions are aimed towards addressing the queries raised by our members on the APMI query management portal plus we endeavour to cover the latest SEBI Circular/Order or any implementation which has come in from the regulator and help our members in executing the same.

**B: Compliance Sutra Calendar for December'2023:**

- **First call for December 2023 will be on Friday 8th December 2023 from 3.00 pm till 4.30 pm**
  - This call will be covering the SEBI Circular dated 20th September'23- Appointment of APMI as designated body in respect of investor complaints against Portfolio Managers, its various aspects, framework



and the role & responsibilities of the designated body.

- **Second call for December 2023 will be on Thursday 28th December 2023 from 3.00 pm till 4.30 pm.**
  - This call will be covering the subject of Anti Money Laundering.

**C: Meeting link:**

- <https://meet.google.com/dno-nfqw-fwo>
  - Only for APMI Members.

We look forward to your presence in these sessions and are hopeful that you will find them useful.

Thank You & look forward to your continuous support.

Warm Regards

Association of Portfolio Managers in India

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**Contact us:** <https://apmiindia.org/apmi/contactus.htm>

**Web:** <https://apmiindia.org/apmi/welcome.htm>

Please note that the information & links shared in this email are strictly for the consumption of the recipients & the Compliance Sutra calls conducted by APMI are only for its members.

**APMI**

ASSOCIATION OF  
PORTFOLIOMANAGERS  
IN INDIA

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