



To: All SEBI Registered Portfolio Managers

Subject: **APMI- CLIENT COUNT DATA**

Date: 2<sup>nd</sup> August'2023

Greetings from APMI!

Dear All,

This is further with reference to the email below, wherein **SEBI is seeking the PMS Industry level client count data from APMI.**

1. You are requested to kindly refer to **Table 2** of the email below and furnish your **client count data as on 30th June'23 in the attached format.**
2. SEBI expects all PMS to respond by 4th August, 2023.
3. Request, please submit your responses **ONLY** on the email id, [membership@apmiindia.org](mailto:membership@apmiindia.org)

Looking forward to your support towards completion of this workflow.

Warm Regards,

Association of Portfolio Managers in India  
B-121, 10th Floor, WeWork- Enam Sambhav  
G-Block, Bandra Kurla Complex, Mumbai- 400051

----- Forwarded message -----

From: **SAMUEL MADDELA** <[samuelbabu@sebi.gov.in](mailto:samuelbabu@sebi.gov.in)>

Date: Wed, Aug 2, 2023 at 11:56 AM

Subject: Data on details of services offered by SEBI registered PMS

To: Rashim Bagga <[rashim.bagga@apmiindia.org](mailto:rashim.bagga@apmiindia.org)>

Cc: CHHAVI KAPOOR <[chhavim@sebi.gov.in](mailto:chhavim@sebi.gov.in)>, SARIKA KATARIA <[sarikak@sebi.gov.in](mailto:sarikak@sebi.gov.in)>

Dear Mr. Rashim,

This has reference to our discussion held today morning with DGM (Ms. Sarika Kataria) and myself, please arrange to provide the details of PMS, members & non-members both, on priority in the revised formats of Table 1 and 2 as shown below.

In order to provide the above information to SEBI, you may seek information from PMS (members and non-members both). As SEBI expects all PMS to respond by August 04, 2023,

**APMI**

ASSOCIATION OF  
PORTFOLIOMANAGERS  
IN INDIA

ASSOCIATION OF PORTFOLIO MANAGERS IN INDIA  
Corporate Office: B-121, 10th Floor, WeWork- Enam Sambhav G-Block, Bandra Kurla Complex, Mumbai- 400051  
Maharashtra. CIN: U91100MH2021NPL374185.

02.08.2023

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however, in case of any technical issue or any other reason specified, the PMS shall be granted time maximum up to August 08, 2023.

**TABLE 1**

Sl. No.	Type of Services Provided by PMS	Number of PMS - Members of APMI	Number of PMS - Non- Members of APMI	TOTAL PMS as on June 30 2023
1	Discretionary Only			
2	Non-Discretionary Only			
3	Advisory Only			
4	Discretionary and Non-discretionary			
5	All three - Discretionary, Non-discretionary & Advisory			
	<b>TOTAL PMS as on June 30 2023</b>	<b>214</b>	<b>171</b>	<b>385</b>

**TABLE 2**

Sl. No.	SEBI Registration Number of the PMS	Name of the PMS Entity	Number of Clients					Total Clients
			Discretionary Only	Non-Discretionary Only	Advisory Only	Discretionary and Non-discretionary	All three - Discretionary, Non-discretionary & Advisory	
1								
2								
3								
-								
-								
-								
-								
<b>385</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

*Regards*

*Maddela Samuel Babu*

*Asst. General Manager,*

*Investment Management Department*

*Securities and Exchange Board of India*

*SEBI Bhavan, Plot No C4-A "G" Block, Bandra Kurla Complex*

*Bandra (East), Mumbai-400 051*

*☎: 022 2644 9855 ✉: [samuelbabu@sebi.gov.in](mailto:samuelbabu@sebi.gov.in)*

**APMI**

ASSOCIATION OF PORTFOLIO MANAGERS IN INDIA

Corporate Office: B-121, 10th Floor, WeWork- Enam Sambhav G-Block, Bandra Kurla Complex, Mumbai- 400051 Maharashtra. CIN: U91100MH2021NPL374185.

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